

External Assessments and Awards

We have been recognized by various external evaluation organizations as a company that promotes sustainability initiatives and have been included in various indices.

Inclusion in Indices

Various FTSE Indices*

- FTSE4Good Index Series
- FTSE Blossom Japan Index
- FTSE Blossom Japan Sector Relative Index (Continued inclusion in 2023)

We have been selected as a constituent of the FTSE4Good Index Series, the FTSE Blossom Japan Index and the FTSE Blossom Japan Sector Relative Index. These indices were developed by FTSE Russell, a global index data provider, to measure the performance of companies that are active in environmental, social and governance (ESG) practices. The FTSE Blossom Japan Index and the FTSE Blossom Japan Sector Relative Index are widely used by one of the world's largest institutional investors, and which manages Japan's public pension funds, the Government Pension Investment Fund (GPIF), and others to create and evaluate ESG investment funds and other financial products.



* FTSE Russell (the trading name of FTSE International Limited and Frank Russell Company) confirms that Sumitomo Metal Mining Co., Ltd. has been independently assessed according to the FTSE4Good criteria, and has satisfied the requirements to become a constituent of the FTSE4Good Index Series. Created by the global index provider FTSE Russell, the FTSE4Good Index Series is designed to measure the performance of companies demonstrating strong Environmental, Social and Governance (ESG) practices. The FTSE4Good indices are used by a wide variety of market participants to create and assess responsible investment funds and other products.

FTSE Russell confirms that Sumitomo Metal Mining Co., Ltd. has been independently assessed according to the index criteria, and has satisfied the requirements to become a constituent of the FTSE Blossom Japan Index. Created by the global index and data provider FTSE Russell, the FTSE Blossom Japan Index is designed to measure the performance of companies demonstrating strong Environmental, Social and Governance (ESG) practices. The FTSE Blossom Japan Index is used by a wide variety of market participants to create and assess responsible investment funds and other products.

FTSE Russell confirms that Sumitomo Metal Mining Co., Ltd. has been independently assessed according to the index criteria, and has satisfied the requirements to become a constituent of the FTSE Blossom Japan Sector Relative Index. The FTSE Blossom Japan Sector Relative Index is used by a wide variety of market participants to create and assess responsible investment funds and other products.

[FTSE4Good Index Series](https://www.ftserussell.com/products/indices/ftse4good)
https://www.ftserussell.com/products/indices/ftse4good

[FTSE Blossom Japan Index Series](https://www.ftserussell.com/products/indices/blossom-japan)
https://www.ftserussell.com/products/indices/blossom-japan

MSCI ESG Leaders Indexes* (Continued inclusion in 2023)

Equity indices created by U.S.-based MSCI, Inc., consisting of companies that have received high ESG ratings.



MSCI Japan ESG Select Leaders Index* (Continued inclusion in 2023)

An equity index created by U.S.-based MSCI, Inc., consisting of listed companies in Japan with excellent ESG ratings, and is one of the ESG indices selected by the Government Pension Investment Fund (GPIF).



* THE INCLUSION OF SUMITOMO METAL MINING CO., LTD.(SMM) IN ANY MSCI INDEX, AND THE USE OF MSCI LOGOS, TRADEMARKS, SERVICE MARKS OR INDEX NAMES HEREIN, DO NOT CONSTITUTE A SPONSORSHIP, ENDORSEMENT OR PROMOTION OF SMM BY MSCI OR ANY OF ITS AFFILIATES.

THE MSCI INDEXES ARE THE EXCLUSIVE PROPERTY OF MSCI. MSCI AND THE MSCI INDEX NAMES AND LOGOS ARE TRADEMARKS OR SERVICE MARKS OF MSCI OR ITS AFFILIATES.

[MSCI Japan ESG Select Leaders Index](https://www.msci.com/msci-esg-leaders-indexes)
https://www.msci.com/msci-esg-leaders-indexes

S&P/JPX Carbon Efficient Index (Continued inclusion in 2023)

This index is provided by S&P Dow Jones Indices and the Tokyo Stock Exchange (TSE). Component stocks are weighted against TOPIX component stocks based on their environmental initiatives, such as environmental information disclosure and carbon efficiency levels. It is one of the ESG indices used by the Government Pension Investment Fund (GPIF).



[S&P/JPX Carbon Efficient Index](https://www.jpjx.co.jp/english/markets/indices/carbon-efficient/index.html)
https://www.jpjx.co.jp/english/markets/indices/carbon-efficient/index.html

JPX-Nikkei Index 400 (Continued inclusion in 2023)

We have been selected to be included in the JPX-Nikkei Index 400, a stock price index jointly managed by JPX Market Innovation & Research, Inc. and Nikkei Inc. This index is composed of companies that meet global investment criteria in terms of finance and management and are highly attractive to investors.



[JPX-Nikkei Index 400](https://www.jpjx.co.jp/english/markets/indices/jpx-nikkei400/index.html)
https://www.jpjx.co.jp/english/markets/indices/jpx-nikkei400/index.html

External Assessments of Sustainability

MSCI ESG Ratings

An evaluation by U.S.-based MSCI Inc. that measures a company's exposure to significant ESG (environmental, social, and governance) risks within its industry and the adequacy of its risk management. As of November 2022, we have received an MSCI ESG rating of AA. (On a 7-point scale from AAA-CCC.)



[MSCI ESG Research website](https://www.msci.com/our-solutions/esg-investing)
https://www.msci.com/our-solutions/esg-investing

CDP

CDP is an ESG evaluation organization that evaluates more than 13,000 companies worldwide on their strategies to address climate change and their efforts to reduce greenhouse gas emissions. Each company is evaluated using an independent method based on the comprehensiveness of its disclosure, risk management, high goal setting, leadership, and other information and assigned a score from A to D-. We have been responding to CDP's questionnaire since 2014. As a result of our response to the CDP questionnaire in 2022, we received a rating of B for Climate Change and A- for Water Security.



[CDP](https://www.cdp.net/en)
https://www.cdp.net/en

Kurumin certification

We formulated an action plan for general business owners based on the Act on Advancement of Measures to Support Raising Next-Generation Children. In 2022, by achieving the goals set in the plan and meeting standards, we received the Kurumin certification from the Minister of Health, Labour and Welfare as a company supporting childcare.



[SMM's Action Plan based on the Act on Advancement of Measures to Support Raising Next-Generation Children](https://ryouritsu.mhlw.go.jp/hiroba/planfile/202204011010323690814_1.pdf)
https://ryouritsu.mhlw.go.jp/hiroba/planfile/202204011010323690814_1.pdf (Japanese Only)

Health & Productivity Management Outstanding Organization

The Certified Health & Productivity Management Outstanding Organizations Recognition Program recognizes corporations, including large enterprises and small and medium-sized companies, that practice particularly excellent health and productivity management based on their promotion of health as set forth by the Nippon Kenko Kaigi and efforts to address local health issues. In 2023, we were certified as a Health & Productivity Management Outstanding Organization (large enterprises category).



[Health & Productivity Management Outstanding Organization](https://www.smm.co.jp/news/release/uploaded_files/20230309.pdf)
https://www.smm.co.jp/news/release/uploaded_files/20230309.pdf (Japanese Only)

External Assessments of Reporting

NIKKEI Integrated Report Award 2022

The Nikkei Integrated Report Award 2022 sponsored by Nikkei Inc. awarded the Nikkei Integrated Report Grand Prix S Award to the SMM Group for our explanation of KPI formulation for ESG items, including the identification process, our definition of SMM Group policy on human rights, our setting the rights of indigenous peoples as a material issue, and our descriptions of initiatives for improvement, including those in our supply chains. S corresponds to the Society category of ESG.



[NIKKEI Integrated Reporting Award 2022 Winner](https://www.smm.co.jp/news/release/uploaded_files/20230323.pdf)
https://www.smm.co.jp/news/release/uploaded_files/20230323.pdf (Japanese Only)

WICI Japan Integrated Reporting Award 2022

This award was established by general incorporated association WICI Japan as a measure to promote integrated reporting in Japan. We received the Encouragement Award in 2017, the Bronze Award for two consecutive years in 2020 and 2021, and the Silver Award in 2022.



[WICI Japan Integrated Reporting Award 2022 Winner](https://www.smm.co.jp/news/release/uploaded_files/20221202.pdf)
https://www.smm.co.jp/news/release/uploaded_files/20221202.pdf (Japanese Only)

Independent Assurance Report



Independent Assurance Report

To the President and Representative Director of Sumitomo Metal Mining Co., Ltd.

We were engaged by Sumitomo Metal Mining Co., Ltd. (the “Company”) to undertake a limited assurance engagement of the environmental, social and economic performance indicators marked with ☑ (the “Indicators”) for the period from April 1, 2022 to March 31, 2023 included in its Sustainability Report 2023 (the “Report”) for the fiscal year ended March 31, 2023; the alignment of the Company’s policies to the International Council on Mining and Metals (“ICMM”)’s 10 Principles, the relevant Corporate-level Performance Expectations (CPEs) and the applicable mandatory requirements set out in ICMM position statements; the Company’s prioritization process for selecting assets for the validation of Asset-level Performance Expectations (APEs); the Company’s identification and prioritization of material issues and the Company’s approach and management of its material issues included in the Report.

The Company’s Responsibility

The Company is responsible for the preparation of the Indicators in accordance with its own reporting criteria (the “Company’s reporting criteria”), as described in the Report; reporting on the alignment of the Company’s policies to the ICMM’s 10 Principles, the relevant CPEs and the applicable mandatory requirements set out in ICMM position statements; reporting on the Company’s prioritization process for selecting assets for the validation of APEs; reporting on the Company’s identification and prioritization of material issues and reporting on the Company’s approach and management of its material issues.

Our Responsibility

Our responsibility is to express a limited assurance conclusion on the Indicators based on the procedures we have performed. We conducted our engagement in accordance with the ‘International Standard on Assurance Engagements (ISAE) 3000, Assurance Engagements other than Audits or Reviews of Historical Financial Information’ and the ‘ISAE 3410, Assurance Engagements on Greenhouse Gas Statements’ issued by the International Auditing and Assurance Standards Board. The limited assurance engagement consisted of making inquiries, primarily of persons responsible for the preparation of information presented in the Report, and applying analytical and other procedures, and the procedures performed vary in nature from, and are less in extent than for, a reasonable assurance engagement. The level of assurance provided is thus not as high as that provided by a reasonable assurance engagement. Our assurance procedures included:

- Interviewing the Company’s responsible personnel to obtain an understanding of its policy for preparing the Report and reviewing the Company’s reporting criteria.
- Inquiring about the design of the systems and methods used to collect and process the Indicators.
- Performing analytical procedures on the Indicators.
- Examining, on a test basis, evidence supporting the generation, aggregation and reporting of the Indicators in conformity with the Company’s reporting criteria, and recalculating the Indicators.
- Visiting the Company’s Hishikari Mine selected on the basis of a risk analysis.
- Evaluating the overall presentation of the Indicators.
- Assessing the alignment of the Company’s policies to the ICMM’s 10 Principles, the relevant CPEs and the applicable mandatory requirements set out in ICMM position statements through documentation reviews and interviews.
- Assessing the Company’s prioritization process for selecting assets for the validation of APEs through documentation reviews and interviews.
- Interviewing the Company’s responsible personnel and reviewing documents with respect to the Company’s process of identifying and prioritizing its material issues and its approach to and management of its material issues.

Conclusion

Based on the procedures performed, as described above, nothing has come to our attention that causes us to believe that:

- the Indicators in the Report are not prepared, in all material respects, in accordance with the Company’s reporting criteria as described in the Report;
- the Company’s policies are not aligned to the ICMM’s 10 Principles and the applicable mandatory requirements set out in ICMM position statements as described on page 130 of the Report;
- the Company’s self-assessment of the relevant CPEs is not as described on page 131 of the Report;



- the Company’s prioritization process for selecting assets for the validation of APEs is not as described on page 131 of the Report;
- the Company has not identified and prioritized its material issues as described on page 26 and 27 of the Report;
- the Company has not approached and managed its material issues as described on pages 28, 29, 33-36, 43, 52, 53, 82, 83, 88-90, 111-113, 117, 121, 125 and 126 of the Report.

Our Independence and Quality Management

We have complied with the Code of Ethics for Professional Accountants issued by the International Ethics Standards Board for Accountants, which includes independence and other requirements founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behavior. In accordance with International Standard on Quality Management 1, we design, implement and operate a system of quality management including policies or procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Kazuhiko Saito, Partner, Representative Director
KPMG AZSA Sustainability Co., Ltd.
Tokyo, Japan
November 28, 2023

GRI Content Index

Statement of use	Sumitomo Metal Mining Co., Ltd. has reported the information cited in this GRI content index for the period April 1, 2022 to March 31, 2023 with reference to the GRI Standards.
GRI 1 used	GRI 1: Foundation 2021
GRI Sector Standard	GRI Sector Standard for Mining (Draft)

General Disclosures

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
GRI 2: General Disclosures 2021						
▶ 1. The organization and its reporting practices						
2-1	Organizational details	Editorial Policy	1			
2-2	Entities included in the organization's sustainability reporting	Editorial Policy SMM Group Overview	1 8-9			
2-3	Reporting period, frequency and contact point	Editorial Policy	1			
2-4	Restatements of information	Environmental Data: Rehabilitated Area Social Data: Number of Officers and Employees (by country and region) Social Data: Number of Officers and Employees (by age group and employee category) Social Data: Time Spent on and Investment in Employee Education	73 147 148-149 153-154			
2-5	External assurance	Editorial Policy Independent Assurance Report	1 198-199			
▶ 2. Activities and workers						
2-6	Activities, value chain and other business relationships	Sustainability Issues in the Value Chain SMM Group Overview SMM Group Business Model	6-7 8-9 10-11			
2-7	Employees	Social Data: Number of Officers and Employees (by country and region) Social Data: Number of Officers and Employees (by age group and employee category)	147 148-149			
2-8	Workers who are not employees	Social Data: Number of Officers and Employees (by age group and employee category)	148-149			
▶ 3. Governance						
2-9	Governance structure and composition	Social Data: Number of Officers and Employees (by country and region) Social Data: Number of Officers and Employees (by age group and employee category) Corporate Governance Framework Decision Making and Supervisory System Outside Directors and Outside Audit & Supervisory Board Members	147 148-149 165 166-167 170			
2-10	Nomination and selection of the highest governance body	Procedures in the Nomination of Director Candidates, Appointment or Dismissal of Senior Management, and Nomination of Audit & Supervisory Board Member Candidates	168			
2-11	Chair of the highest governance body	Decision Making and Supervisory System	166-167			

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
2-12	Role of the highest governance body in overseeing the management of impacts	Sustainability Promotion Structure Decision Making and Supervisory System	20-23 166-167			
2-13	Delegation of responsibility for managing impacts	Sustainability Promotion Structure Decision Making and Supervisory System	20-23 166-167			
2-14	Role of the highest governance body in sustainability reporting	Sustainability Promotion Structure	20-23			
2-15	Conflicts of interest	Decision Making and Supervisory System	166-167			
2-16	Communication of critical concerns	Decision Making and Supervisory System Responding to Compliance Issues	166-167 177			
2-17	Collective knowledge of the highest governance body	Training Policy for Directors and Audit and Supervisory Board Members	170-171			
2-18	Evaluation of the performance of the highest governance body	Analysis and Evaluation of the Effectiveness of the Board as a whole, and the Results	172-173			
2-19	Remuneration policies	Basic Policy and Procedures for Remuneration of Directors	171			
2-20	Process to determine remuneration	Basic Policy and Procedures for Remuneration of Directors	171			
2-21	Annual total compensation ratio	Annual Total Compensation Ratios (FY2022)	172			
▶ 4. Strategy, policies and practices						
2-22	Statement on sustainable development strategy	Long-Term Vision Message from the President Sumitomo Metal Mining Group Sustainability Policy	3 16-19 20			
2-23	Policy commitments	Sumitomo Metal Mining Group Sustainability Policy Outline of SMM Group's human rights policy The Sumitomo Metal Mining Group Policy on Human Rights	20 77 78-79			
2-24	Embedding policy commitments	Sustainability Promotion Structure Putting Sustainability Promotion Activities into Practice Diffusion of Sustainability Promotion Activities Business and Human Rights: Promotion Structure Outline of SMM Group's human rights policy The Sumitomo Metal Mining Group Policy on Human Rights Rights of Indigenous Peoples: Promotion Structure Initiatives through Dialogue at Workplaces Implementation of In-house Education Human Rights in the Supply Chain: Promotion Structure Responsible Mineral Sourcing Initiatives Related to Sustainable Procurement	20-23 23 23 76 77 78-79 116 118 119 120 122 123			
2-25	Processes to remediate negative impacts	Business and Human Rights: Approach and Policy Human Rights Due Diligence Initiatives Grievance Mechanism: Membership in JaCER Acknowledging Grievances and Providing Explanations for Relocations Initiatives through Dialogue at Workplaces Dialogue with Experts, NGOs, and NPOs Implementation of In-house Education Responsible Mineral Sourcing Initiatives Related to Sustainable Procurement Responding to Compliance Issues Whistle-blowing System (Speak Up System)	76 77 77 114-115 118 118 119 122 123 177 178			
2-26	Mechanisms for seeking advice and raising concerns	Grievance Mechanism: Membership in JaCER Acknowledging Grievances and Providing Explanations for Relocations Responding to Compliance Issues Whistle-blowing System (Speak Up System)	77 114-115 177 178			

GRI Content Index

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
2-27	Compliance with laws and regulations	Compliance Status	177			
2-28	Membership associations	Main Organizations in Which SMM Has Membership	129			
		Participation in and Declarations of Support for International Organizations	130			

► 5. Stakeholder engagement

2-29	Approach to stakeholder engagement	Communication with Local Communities and NGOs	114			
		SMM's Vision for Stakeholder Engagement	124			
		Stakeholder Engagement	127			
2-30	Collective bargaining agreements	Labor-Management Relations	107-108			
		Social Data: Labor-Management Relations	158			

Disclosures on material topics

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
GRI 3: Material Topics 2021						
3-1	Process to determine material topics	Sustainability Issues in the Value Chain	6-7			
		Vision for 2030 – Formulation Approach	26-27			
3-2	List of material topics	Material Issues and Their Background	25			
		Vision for 2030, Material Issues, KPIs (Indicators and Goals)	28-29			

Effective Use of Non-Ferrous Metal Resources

► GRI 3: Material Topics 2021

3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals)	28			
		Approach and Policy / Promotion Structure / Medium-Term Targets and Progress	32-33			

► 301: Materials 2016

301-1	Materials used by weight or volume	Environmental Impact of Business Activities (material flow)	58			
		Environmental Data: Raw Material and Energy Inputs in Business Activities	71			
301-2	Recycled input materials used	Percentage of Recycled Input Raw Materials Used	39			
		Environmental Impact of Business Activities (material flow)	58			
		Environmental Data: Percentage of Recycled Input Raw Materials Used	70			

Climate Change

► GRI 3: Material Topics 2021

3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals)	28			
		Environmental Management: Sumitomo Metal Mining Group Environmental Targets for 2023 / Promotion Structure	40-41			
		Approach and Policy / Management Structure and Approach regarding the Material Issue of Climate Change (based on the TCFD recommendations) / Medium-Term Targets and Progress	42-43			

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
► 201: Economic Performance 2016						
201-2	Financial implications and other risks and opportunities due to climate change	Climate Change Scenario Analysis	44-45			
► 302: Energy 2016						
302-1	Energy consumption within the organization	Environmental Impact of Business Activities (material flow)	58			
		Environmental Data: Raw Material and Energy Inputs in Business Activities	71			
302-2	Energy consumption outside of the organization			a.-c.	Information not available/sufficient	Not disclosed because the information outside of the organization is not available.
302-3	Energy intensity	Energy and GHG Emissions Intensity Indices	47			
		Environmental Data: Energy and GHG Emissions Intensity Indices	70			
302-4	Reduction of energy consumption			a.-d.	Information not available/sufficient	Not disclosed because the information for the reduction of energy consumption is not sufficient.
302-5	Reductions in energy requirements of products and services			a.-c.	Information not available/sufficient	Not disclosed because the information for the reduction in energy requirements is not sufficient.

► 305: Emissions 2016

305-1	Direct (Scope 1) GHG emissions	GHG Emissions (Scope 1 and 2)	46			
		Environmental Impact of Business Activities (material flow)	59			
		Environmental Data: Greenhouse Gas (GHG) Emissions	70			
305-2	Energy indirect (Scope 2) GHG emissions	GHG Emissions (Scope 1 and 2)	46			
		Environmental Impact of Business Activities (material flow)	59			
		Environmental Data: Greenhouse Gas (GHG) Emissions	70			
305-3	Other indirect (Scope 3) GHG emissions	GHG Emissions in the Value Chain (Scope 3)	50			
305-4	GHG emissions intensity	Energy and GHG Emissions Intensity Indices	47			
		Environmental Data: Energy and GHG Emissions Intensity Indices	70			
305-5	Reduction of GHG emissions	GHG Emissions (Scope 1 and 2)	46			
		Energy and GHG Emissions Intensity Indices	47			

Significant Environmental Accidents / Biodiversity

► GRI 3: Material Topics 2021

3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals)	28			
		Environmental Management: Sumitomo Metal Mining Group Environmental Targets for 2023 / Promotion Structure	40-41			
		Approach and Policy / Promotion Structure / Medium-Term Targets and Progress	52-53			

► 303: Water and Effluents 2018

303-1	Interactions with water as a shared resource	Water Risk Management	56			
-------	--	-----------------------	----	--	--	--

GRI Content Index

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
303-2	Management of water discharge-related impacts	Discharge into Water	60			
303-3	Water withdrawal	Environmental Impact of Business Activities (material flow)	58			
		Discharge into Water	60			
		Environmental Data: Water Resource Input, Water Discharge, and Water Consumption in Business Activities	71			
303-4	Water discharge	Environmental Impact of Business Activities (material flow)	59			
		Discharge into Water	60			
		Environmental Data: Water Resource Input, Water Discharge, and Water Consumption in Business Activities	71			
		Environmental Data: Release and Transfer of Chemical Substances in Business Activities	72			
303-5	Water consumption	Environmental Impact of Business Activities (material flow)	58			
		Environmental Data: Water Resource Input, Water Discharge, and Water Consumption in Business Activities	71			
▶ 304: Biodiversity 2016						
304-1	Operational sites owned, leased, managed in, or adjacent to, protected areas and areas of high biodiversity value outside protected areas	Environmental Data: Business Activities in Areas of High Biodiversity Value	73			
304-2	Significant impacts of activities, products and services on biodiversity			a.-b.	Information not available/sufficient	Not disclosed because the information for impacts is not available.
304-3	Habitats protected or restored			a.-d.	Information not available/sufficient	Not disclosed because the information for habitats is not sufficient.
304-4	IUCN Red List species and national conservation list species with habitats in areas affected by operations			a.	Information not available/sufficient	Not disclosed because the information for species with habitats is not sufficient.
▶ 305: Emissions 2016						
305-6	Emissions of ozone-depleting substances (ODS)	Release Control for Chemical Substances	61			
305-7	Nitrogen oxides (NOx), sulfur oxides (SOx), and other significant air emissions	Environmental Impact of Business Activities (material flow)	59			
		Emissions into the Atmosphere	60			
		Environmental Data: Release and Transfer of Chemical Substances in Business Activities	72			
▶ 306: Waste 2020						
306-1	Waste generation and significant waste-related impacts	Managing Tailings Dams	56			
		Managing Decommissioned and Closed Mines	56-57			
		Rehabilitating Tailings Dams	57			
		Environmental Impact of Business Activities (material flow)	59			
306-2	Management of significant waste-related impacts	Managing Tailings Dams	56			
		Managing Decommissioned and Closed Mines	56-57			
		Rehabilitating Tailings Dams	57			
		Environmental Impact of Business Activities (material flow)	59			

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
306-3	Waste generated	Environmental Impact of Business Activities (material flow)	59			
		Waste by Type and Treatment Method (FY2022)	62			
		Emissions of Waste Plastic (FY2022)	63			
306-4	Waste diverted from disposal	Waste by Type and Treatment Method (FY2022)	62			
		Emissions of Waste Plastic (FY2022)	63			
306-5	Waste directed to disposal	Trends in Final Disposal Amount of Industrial and Mining Waste in Japan	62			
		Waste by Type and Treatment Method (FY2022)	62			
		Emissions of Waste Plastic (FY2022)	63			
		Environmental Data: Final Disposal Amount of Industrial and Mining Waste in Japan	72			
▶ G4-Mining and Metals						
G4-MM1	AMOUNT OF LAND (OWNED OR LEASED, AND MANAGED FOR PRODUCTION ACTIVITIES OR EXTRACTIVE USE) DISTURBED OR REHABILITATED	Environmental Data: Rehabilitated Area	73			
G4-MM2	THE NUMBER AND PERCENTAGE OF TOTAL SITES IDENTIFIED AS REQUIRING BIODIVERSITY MANAGEMENT PLANS ACCORDING TO STATED CRITERIA, AND THE NUMBER (PERCENTAGE) OF THOSE SITES WITH PLANS IN PLACE	Environmental Data: Business Activities in Areas of High Biodiversity Value	73			
G4-MM3	TOTAL AMOUNTS OF OVERBURDEN, ROCK, TAILINGS, AND SLUDGES AND THEIR ASSOCIATED RISKS	Managing Tailings Dams Environmental Impact of Business Activities (material flow)	56 59			
Employees' Occupational Health and Safety						
▶ GRI 3: Material Topics 2021						
3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals) Business and Human Rights: Approach and Policy / Promotion Structure / Human Rights Due Diligence Initiatives Approach and Policy / Policy and Targets / Occupational Health and Safety Policies, Targets and Plans / Promotion Structure / Medium-Term Targets and Progress	28 76-77 80-83			
▶ 403: Occupational Health and Safety 2018						
403-1	Occupational health and safety management system	Promotion Structure Social Data: Occupational Health and Safety Management System	81 144			
403-2	Hazard identification, risk assessment, and incident investigation	Promotion Structure Occupational Health and Safety Risk Assessments	81 83			
		Social Data: Identification of Hazard Sources, Risk Assessment, and Accident Investigation (2022)	145			
403-3	Occupational health services	Social Data: Services, Education and Training Related to Occupational Health and Safety (2022)	146			
403-4	Worker participation, consultation, and communication on occupational health and safety	Occupational Health and Safety Committees Labor-Management Relations: Labor-Management Agreements to Improve the Level of Occupational Health and Safety	82 108			

GRI Content Index

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
403-5	Worker training on occupational health and safety	Individual Initiatives for Occupational Health and Safety: Education	84			
		Priority Initiatives for FY2022	84			
		Social Data: Services, Education and Training Related to Occupational Health and Safety (2022)	146			
403-7	Prevention and mitigation of occupational health and safety impacts directly linked by business relationships	Occupational Health and Safety Committees	82			
		Social Data: Identification of Hazard Sources, Risk Assessment, and Accident Investigation (2022)	145			
403-8	Workers covered by an occupational health and safety management system	Social Data: Occupational Health and Safety Management System	144			
403-9	Work-related injuries	Social Data: Work-Related Incidents	142			
403-10	Work-related ill health	Social Data: Work-Related Ill Health	143			
Diverse Human Resources / Development and Participation of Human Resources						
► GRI 3: Material Topics 2021						
3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals)	29			
		Business and Human Rights: Approach and Policy / Promotion Structure / Human Rights Due Diligence Initiatives	76-77			
		Approach and Policy / Promotion Structure / Medium-Term Targets and Progress	86-90			
		Human Resources Development	90-94			
		Diversity & Inclusion: Approach	99			
		Maintaining and Improving Employees' Health	102			
► 202: Market Presence 2016						
202-1	Ratios of standard entry level wage by gender compared to local minimum wage	Diversity & Inclusion: Initiatives toward Gender Balance (women's active engagement)	99-100			
		Social Data: Standard Entry-level Salary to Regional Minimum Wage	157			
► 401: Employment 2016						
401-1	New employee hires and employee turnover	Social Data: New Hires and Departures (by hiring type and reason for departure)	150			
		Social Data: New Hires and Departures (by region)	150-152			
401-2	Benefits provided to full-time employees that are not provided to temporary or part-time employees	Utilization of Human Resources: Creation of Workplaces Where Diverse Human Resources Can Play Active Roles	96			
		Long-Term Incentives and Rewards System for Employees	106-107			
401-3	Parental leave	Utilization of Human Resources: Creation of Workplaces Where Diverse Human Resources Can Play Active Roles: Development of Support Systems for Balancing Work and Child-Rearing and Nursing Care	96-97			
		Social Data: Creating Workplaces Where Diverse Human Resources Play Vibrant and Active Roles	155			
► 402: Labor/Management Relations 2016						
402-1	Minimum notice periods regarding operational changes	Labor-Management Relations	107-108			

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
► 403: Occupational Health and Safety 2018						
403-6	Promotion of worker health	Maintaining and Improving Employees' Health	102			
		Maintaining and Improving Employees' Health: Sumitomo Metal Mining Group Health and Productivity Promotion Structure	102			
		Maintaining and Improving Employees' Health: Health and Productivity Management Plan	103			
		Maintaining and Improving Employees' Health: Addressing Mental Health Care	103-104			
		Maintaining and Improving Employees' Health: Illness Prevention and Health Promotion Initiatives	105-106			
		Social Data: Addressing Mental Health Care	158			
		Social Data: Illness Prevention and Health Promotion Initiatives	158			
► 404: Training and Education 2016						
404-1	Average hours of training per year per employee	Social Data: Time Spent on and Investment in Employee Education	153-154			
404-2	Programs for upgrading employee skills and transition assistance programs	Human Resources Development	90-94			
		Utilization of Human Resources: Creation of Workplaces Where Diverse Human Resources Can Play Active Roles: Diverse Working Styles for Older Employees	98			
		Diversity & Inclusion: Initiatives toward Gender Balance (women's active engagement)	99-100			
404-3	Percentage of employees receiving regular performance and career development reviews			Information not available/sufficient	Not disclosed because the information for percentage of employees receiving reviews is not sufficient.	
► 405: Diversity and Equal Opportunity 2016						
405-1	Diversity of governance bodies and employees	Diversity & Inclusion: Approach	99			
		Diversity & Inclusion: Initiatives toward Gender Balance (women's active engagement)	99-100			
		Diversity & Inclusion: Promotion of Employment for People with Disabilities	101			
		Diversity & Inclusion: Increase in Foreign Employees	101			
		Diversity & Inclusion: LGBT-related Initiatives	101			
		Social Data: Number of Officers and Employees (by country and region)	147			
		Social Data: Number of Officers and Employees (by age group and employee category)	148-149			
		Social Data: Promoting Employment of People with Disabilities	157			
405-2	Ratio of basic salary and remuneration of women to men	Diversity & Inclusion: Initiatives toward Gender Balance (women's active engagement)	99-100			
		Social Data: Gender Balance (women's active engagement)	156			
► 406: Non-discrimination 2016						
406-1	Incidents of discrimination and corrective actions taken	Whistle-blowing System (Speak Up System)	178			

GRI Content Index

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
▶ 407: Freedom of Association and Collective Bargaining 2016						
407-1	Operations and suppliers in which the right to freedom of association and collective bargaining may be at risk	Labor-Management Relations	107-108			
▶ G4-Mining and Metals						
G4-MM4	NUMBER OF STRIKES AND LOCK-OUTS EXCEEDING ONE WEEK'S DURATION, BY COUNTRY	Labor-Management Relations	107-108			
Co-Existence and Mutual Prosperity with Local Communities						
▶ GRI 3: Material Topics 2021						
3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals)	29			
		Business and Human Rights: Approach and Policy / Promotion Structure / Human Rights Due Diligence Initiatives	76-77			
		Approach and Policy / Promotion Structure / Medium-Term Targets and Progress	110-113			
▶ 202: Market Presence 2016						
202-2	Proportion of senior management hired from the local community	Social Data: Percentage of Locally-Hired Senior Managers	159			
▶ 203: Indirect Economic Impacts 2016						
203-1	Infrastructure investments and services supported	Cost of Social Contribution Activities	114			
		Social Data: Investment in Infrastructure and Support Services (cost of social contribution activities)	159			
203-2	Significant indirect economic impacts	Acknowledging Grievances and Providing Explanations for Relocations	114			
▶ 204: Procurement Practices 2016						
204-1	Proportion of spending on local suppliers	Social Data: Percentage of procurement from local suppliers and percentage of employees hired locally	159			
▶ 413: Local Communities 2016						
413-1	Operations with local community engagement, impact assessments, and development programs			a. Information not available/sufficient	Not disclosed because the information for operations is not sufficient.	
413-2	Operations with significant actual and potential negative impacts on local communities	Acknowledging Grievances and Providing Explanations for Relocations	114			
▶ G4-Mining and Metals						
G4-MM7	THE EXTENT TO WHICH GRIEVANCE MECHANISMS WERE USED TO RESOLVE DISPUTES RELATING TO LAND USE, CUSTOMARY RIGHTS OF LOCAL COMMUNITIES AND INDIGENOUS PEOPLES, AND THE OUTCOMES	Acknowledging Grievances and Providing Explanations for Relocations	114			
G4-MM9	SITES WHERE RESETTLEMENTS TOOK PLACE, THE NUMBER OF HOUSEHOLDS RESETTLED IN EACH, AND HOW THEIR LIVELIHOODS WERE AFFECTED IN THE PROCESS	Acknowledging Grievances and Providing Explanations for Relocations	114			
G4-MM10	NUMBER AND PERCENTAGE OF OPERATIONS WITH CLOSURE PLANS	Closure Plans for Mines, Smelters and Refineries	115			

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
Rights of Indigenous Peoples						
▶ GRI 3: Material Topics 2021						
3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals)	29			
		Business and Human Rights: Approach and Policy / Promotion Structure / Human Rights Due Diligence Initiatives	76-77			
		Approach and Policy / Promotion Structure / Medium-Term Targets and Progress	116-117			
▶ 411: Rights of Indigenous Peoples 2016						
411-1	Incidents of violations involving rights of indigenous peoples	Initiatives through Dialogue at Workplaces	118			
▶ G4-Mining and Metals						
G4-MM5	TOTAL NUMBER OF OPERATIONS TAKING PLACE IN OR ADJACENT TO INDIGENOUS PEOPLES' TERRITORIES, AND NUMBER AND PERCENTAGE OF OPERATIONS OR SITES WHERE THERE ARE FORMAL AGREEMENTS WITH INDIGENOUS PEOPLES' COMMUNITIES	Initiatives through Dialogue at Workplaces	118			
G4-MM6	NUMBER AND DESCRIPTION OF SIGNIFICANT DISPUTES RELATING TO LAND USE, CUSTOMARY RIGHTS OF LOCAL COMMUNITIES AND INDIGENOUS PEOPLES	Initiatives through Dialogue at Workplaces	118			
G4-MM7	THE EXTENT TO WHICH GRIEVANCE MECHANISMS WERE USED TO RESOLVE DISPUTES RELATING TO LAND USE, CUSTOMARY RIGHTS OF LOCAL COMMUNITIES AND INDIGENOUS PEOPLES, AND THE OUTCOMES	Initiatives through Dialogue at Workplaces	118			
Human Rights in the Supply Chain						
▶ GRI 3: Material Topics 2021						
3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals)	29			
		Business and Human Rights: Approach and Policy / Promotion Structure / Human Rights Due Diligence Initiatives	76-77			
		Approach and Policy / Promotion Structure / Medium-Term Targets and Progress	120-121			
▶ 308: Supplier Environmental Assessment 2016						
308-1	New suppliers that were screened using environmental criteria	Responsible Mineral Sourcing	122			
308-2	Negative environmental impacts in the supply chain and actions taken	Initiatives Related to Sustainable Procurement	123			
▶ 406: Non-discrimination 2016						
406-1	Incidents of discrimination and corrective actions taken	Promotion Structure	120			
▶ 407: Freedom of Association and Collective Bargaining 2016						
407-1	Operations and suppliers in which the right to freedom of association and collective bargaining may be at risk	Promotion Structure	120			

GRI Content Index

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
▶ 408: Child Labor 2016						
408-1	Operations and suppliers at significant risk for incidents of child labor	Promotion Structure	120			
▶ 409: Forced or Compulsory Labor 2016						
409-1	Operations and suppliers at significant risk for incidents of forced or compulsory labor	Promotion Structure	120			
▶ 414: Supplier Social Assessment 2016						
414-1	New suppliers that were screened using social criteria	Responsible Mineral Sourcing	122			
414-2	Negative social impacts in the supply chain and actions taken	Initiatives Related to Sustainable Procurement	123			
▶ G4-Mining and Metals						
G4-MM8	NUMBER (AND PERCENTAGE) OF COMPANY OPERATING SITES WHERE ARTISANAL AND SMALL-SCALE MINING (ASM) TAKES PLACE ON, OR ADJACENT TO, THE SITE; THE ASSOCIATED RISKS AND THE ACTIONS TAKEN TO MANAGE AND MITIGATE THESE RISKS	Responsible Mineral Sourcing	122			
Engagement with Stakeholders						
▶ GRI 3: Material Topics 2021						
3-3	Management of material topics	Vision for 2030, Material Issues, KPIs (Indicators and Goals) Approach and Policy / Promotion Structure / Medium-Term Targets and Progress	29 124-126			

Disclosures Other than Material Topics

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
201: Economic Performance 2016						
201-1	Direct economic value generated and distributed	Social Data: Economic Performance	160			
201-3	Defined benefit plan obligations and other retirement plans	Social Data: Economic Performance	160			
201-4	Financial assistance received from government	Social Data: Economic Performance	160			
205: Anti-corruption 2016						
205-1	Operations assessed for risks related to corruption	Initiatives on Preventing Corruption	177			
205-2	Communication and training about anti-corruption policies and procedures	Participants of Compliance Education (FY2022)	178			
205-3	Confirmed incidents of corruption and actions taken	Compliance Status	177			
206: Anti-competitive Behavior 2016						
206-1	Legal actions for anti-competitive behavior, anti-trust, and monopoly practices	Compliance Status	177			

GRI Standard/ Other Sources	Disclosure	Item	Page number(s)	Omitted		
				Items omitted	Reasons	Explanation
207: Tax 2019						
207-1	Approach to tax	Tax Governance: Approach and Policy Initiatives for Tax-Related Compliance	180 181			
207-2	Tax governance, control, and risk management	Compliance Status Decision-Making Concerning Taxes, Oversight by the Board of Directors, and the Role of Audit & Supervisory Board Members Initiatives for Tax-Related Compliance	177 181 181			
207-3	Stakeholder engagement and management of concerns related to tax	Tax-Related Information Collection and Initiatives Involving Government, etc.	181			
207-4	Country-by-country reporting	Social Data: Economic Performance	160			
416: Customer Health and Safety 2016						
416-1	Assessment of the health and safety impacts of product and service categories	Disclosure of Information on Products and Services	189			
416-2	Incidents of non-compliance concerning the health and safety impacts of products and services	Compliance Status	177			
417: Marketing and Labeling 2016						
417-1	Requirements for product and service information and labeling	Information on SMM Products Requiring Disclosure by Labeling and Applicable Products/Services	190			
417-2	Incidents of non-compliance concerning product and service information and labeling	Compliance Status	177			
417-3	Incidents of non-compliance concerning marketing communications	Compliance Status	177			