

Article 1

Sumitomo shall achieve strength and prosperity by placing prime importance on integrity and sound management in the conduct of its business.

Article 2

Sumitomo shall manage its activities with foresight and flexibility in order to cope effectively with the changing times. Under no circumstances, however, shall it pursue easy gains or act imprudently.

"Business Principles" forming the "Rules Governing the House of Sumitomo," formulated in 1928

SMM Group Corporate Philosophy

Sumitomo Metal Mining Co., Ltd. (SMM), in accordance with the Sumitomo Business Spirit, shall, through the performance of sound corporate activities and the promotion of sustainable co-existence with the global environment, seek to make positive contributions to society and to fulfill its responsibilities to its stakeholders, in order to win ever greater trust.

SMM shall, based on respect for all individuals and recognizing each person's dignity and value, seek to be a forward-minded and vibrant company.

SMM Group Management Vision

By developing and employing innovative technology, we shall fulfill our social responsibilities as a manufacturing enterprise.

Based on the principles of compliance, environmental protection and operational safety, the Sumitomo Metal Mining Group shall pursue maximum corporate value through the provision, via its global network, of high-quality materials such as non-ferrous metals and electronics and advanced materials.

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Editorial Policy

We began publishing the integrated report this fiscal year so that all of our stakeholders, including customers, shareholders and investors, and local communities, can gain an even better understanding of our initiatives aimed at sustainable growth and maximization of corporate value, which is the goal of the SMM Group.

The editing of this Sumitomo Metal Mining Co., Ltd. Integrated Report 2016 has been conducted around the perspectives of the kind of value the SMM Group creates and how, what the Group's goals for the future are and how it is working toward them as well as the foundations that underpin our value creation.

Referenced Guidelines and Assurance

In editing this report, we referenced the International Integrated Reporting Framework of the International Integrated Reporting Council (IIRC). For information on sustainability, we have complied with the Core option of the Global Reporting Initiative's\* G4 Sustainability Reporting Guidelines, and the Company's self-declaration and performance data have received independent assurance.

The Annual Report and the CSR Report, which we published up until last year, have been discontinued from this year as the information is contained within this report. Detailed financial information is summarized in the Financial Section and published on the Company's website.  
<http://www.smm.co.jp/E/ir/library/>

\* Global Reporting Initiative (GRI): An organization established with the purpose of creating and promoting international guidelines for sustainability reports.

Boundary of the Report

Sumitomo Metal Mining Co., Ltd. (SMM)  
 The Sumitomo Metal Mining Group  
 (SMM and consolidated subsidiaries)

**Economic Aspects** SMM, consolidated subsidiaries and equity-method affiliates

**Environmental Aspects** SMM and consolidated subsidiaries (32 companies)

• From the perspective of materiality, we included equity method affiliate Nippon Ketjen Co., Ltd., but excluded consolidated subsidiaries with a low environmental impact. The boundary of the report is stated on p. 40-41. Companies marked with an asterisk (\*) and Sumiko Advanced Materials (Suzhou) Co., Ltd. are included in the boundary of the report.

**Social Aspects** SMM and consolidated subsidiaries

Publication Date

November 2016

Next scheduled publication: November 2017

Period Covered

Japan: April 1, 2015-March 31, 2016

Overseas: January 1, 2015-December 31, 2015

(Some activities before or after the above periods have also been included)

Referenced Guidelines

GRI's G4 Sustainability Reporting Guidelines

IIRC's International Integrated Reporting Framework

Unless otherwise stated, the term "ton" refers to a metric ton.

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Disclaimer

The forward-looking statements in this integrated report, including business result forecasts, are based on information available to the Company and on certain assumptions deemed to be reasonable as of the date of release of this report. Actual business results may differ substantially due to a number of factors.