



## Consolidated Financial Results for the Third Quarter Ended December 31, 2020 [IFRS]

February 8, 2021

Listed Company Name: Sumitomo Metal Mining Co., Ltd.  
 Code: 5713  
 Listings: Tokyo Stock Exchange  
 URL: <https://www.smm.co.jp/>  
 Representative: Akira Nozaki, President and Representative Director  
 Contact: Daiji Toyama, Manager, PR & IR Dept. TEL: +81-3-3436-7705  
 Scheduled Date to Submit Quarterly Report: February 10, 2021  
 Scheduled Date to Start Dividend Payment: —  
 Preparation of Supplementary Explanation Materials for Quarterly Financial Results: Yes  
 Briefing on Quarterly Account Settlement: Yes (for institutional investors)

(Amounts less than one million yen are rounded off)

### 1. Consolidated Financial Results (From April 1, 2020, to December 31, 2020)

#### (1) Consolidated Operating Results

(% figures show year-on-year change)

	Net sales		Profit before tax		Profit		Profit attributable to owners of parent		Total comprehensive income	
	Millions of yen	%	Millions of yen	%	Millions of yen	%	Millions of yen	%	Millions of yen	%
Nine months ended December 31, 2020	672,132	4.2	64,582	-4.3	46,934	-12.0	45,402	-11.8	64,492	73.1
Nine months ended December 31, 2019	644,767	-8.3	67,473	-22.2	53,336	-24.0	51,456	-23.0	37,251	-42.3

	Basic earnings per share	Diluted earnings per share
	Yen	Yen
Nine months ended December 31, 2020	165.23	165.23
Nine months ended December 31, 2019	187.26	187.26

#### (2) Consolidated Financial Position

	Total assets	Total equity	Equity attributable to owners of parent	Equity attributable to owners of parent ratio
	Millions of yen	Millions of yen	Millions of yen	%
As of December 31, 2020	1,775,717	1,159,520	1,052,382	59.3
As of March 31, 2020	1,719,690	1,110,860	1,001,946	58.3

### 2. Dividends

	Dividend per share				
	First quarter-end	Second quarter-end	Third quarter-end	Year-end	Total
	Yen	Yen	Yen	Yen	Yen
Year ended March 31, 2020	—	39.00	—	39.00	78.00
Year ending March 31, 2021	—	22.00	—		
Year ending March 31, 2021 (Forecast)				78.00	100.00

(Note) Revision of dividend forecast that has been disclosed lastly: Yes

**3. Forecast of Consolidated Operating Results for the Year Ending March 31, 2021  
(From April 1, 2020, to March 31, 2021)**

(% figures show year-on-year change)

	Net sales		Profit before tax		Profit		Profit attributable to owners of parent		Basic earnings per share
	Millions of yen	%	Millions of yen	%	Millions of yen	%	Millions of yen	%	Yen
Full year	904,000	3.6	103,000	30.3	81,000	33.3	78,000	28.7	283.86

(Note) Revision of operating results forecast that has been disclosed lastly: Yes

For further details, please refer to “1. Qualitative Information on Quarterly Financial Results, (3) Forward-Looking Information Including Forecast of Consolidated Operating Results and Other” on page 5.

Notes

- (1) Change in Important Subsidiaries during the Period under Review (Change in specific subsidiaries that will accompany a change in scope of consolidation): None
- (2) Changes in Accounting Policies or Estimates
  - 1) Changes in accounting policies required by IFRS: None
  - 2) Changes in accounting policies other than item 1) above: None
  - 3) Change in accounting estimates: None
- (3) Number of Outstanding Shares (Common stock)
  - 1) Number of shares issued as of end of period (including treasury stock)
    - 290,814,015 shares at December 31, 2020
    - 290,814,015 shares at March 31, 2020
  - 2) Number of shares of treasury stock as of end of period
    - 16,036,509 shares at December 31, 2020
    - 16,032,485 shares at March 31, 2020
  - 3) Average number of shares during the period
    - 274,779,885 shares for nine months ended December 31, 2020
    - 274,785,486 shares for nine months ended December 31, 2019

The consolidated financial results presented herein are not subject to the quarterly review by certified public accountants or audit corporations.

Explanation regarding appropriate use of operating results forecast and other special notes

(Caution Regarding Forward-Looking Statements)

The forecast of consolidated operating results for the year ending March 31, 2021, disclosed on November 9, 2020, has been revised in this report. The forward-looking statements, including business results forecast, contained in this report are based on information available to the Company and on certain assumptions deemed to be reasonable as of the date of release of this report and they are not meant to be a commitment by the Company. Also, actual business results may differ substantially due to a number of factors.

(Supplementary Explanation Materials for Quarterly Financial Results)

The Supplementary Explanation Materials will be posted on the Company’s website on Monday, February 8, 2021.